DEFINABLE FUNCTIONS IN URYSOHN'S METRIC SPACE

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ABSTRACT. Let $\mathfrak U$ denote the Urysohn sphere and consider $\mathfrak U$ as a metric structure in the empty continuous signature. We prove that every definable function $\mathfrak U^n\to\mathfrak U$ is either a projection function or else has relatively compact range. As a consequence, we prove that many functions natural to the study of the Urysohn sphere are not definable. We end with further topological information on the range of the definable function in case it is compact.

1. Introduction

It is a common goal in model theory to understand the functions which are definable in a given structure, either by giving an explicit characterization of the definable functions in terms of non-logical verbiage or by proving structure theorems for definable functions. An example of this phenomenon is the characterization of the functions definable in algebraically closed fields as exactly those functions whose graphs are constructible (i.e. Boolean combinations of Zariski closed sets). Moreover, if the field is of characteristic 0, then such a function is piecewise given by rational functions.

Model theory for metric structures is a recent generalization of classical model theory which is better suited for understanding structures based on complete metric spaces. (For an introduction to the model theory of metric structures, see the wonderful survey [1].) As in classical model theory, there is an appropriate notion of definability of functions in metric structures. However, there has yet to appear an analysis of the functions definable in a particular metric structure. The goal of the present paper is to fill this void by studying the functions definable in the *Urysohn sphere*.

The Urysohn sphere \mathfrak{U} is the unique (up to isometry) Polish metric space (i.e. complete, separable metric space) of diameter 1 which is *universal*, in the sense that every Polish metric space of diameter at most 1 admits an isometric embedding into \mathfrak{U} , and *ultrahomogeneous*, in the sense that every isometry between finite subsets of \mathfrak{U} extends to an isometry of \mathfrak{U} . The Urysohn *space*, which is the same as the Urysohn sphere without the bounded diameter requirement, as well as its isometry group, have been of interest to descriptive set theorists for a plethora of reasons; see, for example, [7].

More recently, model theoretic aspects of the Urysohn sphere (as a metric structure) have been studied by Henson, Usvyatsov (see [11]) and Ealy and

Goldbring (see [5]). The present paper intends to add to the understanding of the model theory of the Urysohn sphere by proving that definable functions $\mathfrak{U}^n \to \mathfrak{U}$ are either projection functions or else have a relatively compact range of a very special nature. This structure theorem is the content of Section 2 below. In Section 3, we study some consequences of our structure theorem. In particular, we prove that many of the functions which appear naturally in the geometry of the Urysohn sphere are not definable. We also show that there are no definable group operations on \mathfrak{U} . Finally, in Section 4, we prove some topological properties of the range of a definable function in case it is relatively compact.

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Notations and Background

In the remainder of this introduction we establish some conventions as well as collect facts about definable functions that we will need for the main results.

Fix a metric space M. For $A \subseteq M$, we let \bar{A} (resp. int(A)) denote the topological closure (resp. interior) of A in M. For $a \in M$ and $r \in \mathbb{R}^{>0}$, we let $B_M(a;r)$ (resp. $\bar{B}_M(a;r)$) denote the open (resp. closed) ball in M centered at a of radius r. We let id_M denote the identity function $M \to M$. For any $n \geq 1$, we equip M^n with the maximum metric, that is, for any $a = (a_1, \ldots, a_n), b = (b_1, \ldots, b_n) \in M^n$, we have

$$d(a,b) := \max_{1 \le i \le n} d(a_i, b_i).$$

We let $\mathfrak U$ denote the Urysohn sphere and consider it as a structure in the empty bounded metric signature, that is the bounded metric signature consisting only of the metric symbol d, which is assumed to satisfy $d \leq 1$. It is known that $\mathrm{Th}(\mathfrak U)$ admits quantifier elimination (see [11]). We let $\mathbb U$ denote an ω_1 -universal domain for $\mathrm{Th}(\mathfrak U)$ and we view $\mathfrak U$ as an elementary substructure of $\mathbb U$. Note that $\mathfrak U$ is closed in $\mathbb U$ since $\mathfrak U$ is complete. Also note that ω_1 -saturation implies that any closed ball in $\mathbb U$ (including $\mathbb U$ itself) is not separable. It follows that $\mathbb U\setminus \mathfrak U$ is dense in $\mathbb U$. Indeed, let $x\in \mathfrak U$ and $\epsilon>0$. Since $B_{\mathbb U}(x;\epsilon)$ is not separable while $B_{\mathfrak U}(x;\epsilon)$ is separable, there is $y\in \mathbb U\setminus \mathfrak U$ with $d(x,y)<\epsilon$.

Recall that, for $A \subseteq \mathfrak{U}$, a function $f: \mathfrak{U}^n \to \mathfrak{U}$ is A-definable if there is a countable sequence of formulae $(\varphi_k(x,y) \mid k < \omega)$ with parameters from A such that the interpretations of the φ_k 's in \mathfrak{U} , which are functions $\varphi_k^{\mathfrak{U}} \colon \mathfrak{U}^{n+1} \to [0,1]$, converge uniformly to the function d(f(x),y); in short: the predicate d(f(x),y) is an A-definable predicate. Since each φ_k can only mention a finite number of elements of A, we can always take A to be countable. The sequence of functions $(\varphi_k^{\mathbb{U}})$ will also converge uniformly to a predicate, whose zeroset is the graph of a function, which we denote by $\tilde{f}: \mathbb{U}^n \to \mathbb{U}$ and call

the natural extension of f to \mathbb{U} . By the construction of \tilde{f} , it is clear that \tilde{f} is also an A-definable function. Both f and \tilde{f} are uniformly continuous and we will let $\Delta:(0,1]\to(0,1]$ denote a modulus of uniform continuity for both f and \tilde{f} . (For proofs of all of these facts, see Section 9 of [1].) Finally, if X is a definable subset of \mathbb{U}^n (that is, X is closed and the predicate d(x,X) is a definable predicate), then, by ω_1 -saturation, $\tilde{f}(X)$ is a closed subset of \mathbb{U} . (In fact, $\tilde{f}(X)$ is a definable subset of \mathbb{U} , although we will not need this fact; see [2], Lemma 1.20.)

As in classical model theory, if $f: \mathfrak{U}^n \to \mathfrak{U}$ is A-definable, then, for all $x \in \mathbb{U}^n$, we have $\tilde{f}(x) \in \operatorname{dcl}(Ax)$.

Fact 1.1 (Henson). For any $B \subseteq \mathbb{U}$, $dcl(B) = acl(B) = \bar{B}$.

Proof. See the proof of Fact 5.3 in [5].

Corollary 1.2. Suppose that $f: \mathfrak{U}^n \to \mathfrak{U}$ is A-definable. Then for every $x = (x_1, \ldots, x_n) \in \mathbb{U}^n$, we have $\tilde{f}(x) \in \bar{A} \cup \{x_1, \ldots, x_n\}$.

The preceding fact is the key ingredient in the proof of our structure theorem. We also need the following model-theoretic fact.

Lemma 1.3. Suppose that \mathcal{M} is a κ -saturated structure, where κ is an uncountable cardinal, and $g: M^n \to M$ is a definable function in \mathcal{M} such that $g(M^n)$ has density character smaller than κ . Then $g(M^n)$ is compact.

Proof. Since $g(M^n)$ is closed, we only need to prove that $g(M^n)$ is totally bounded. Let $(a_i \mid i < \lambda)$ enumerate a dense subset of $g(M^n)$, where $\lambda < \kappa$. Fix $\delta > 0$. Let $\varphi(x,y)$ be a formula such that $|\varphi(x,y) - d(g(x),y)| \le \frac{\delta}{4}$ for all $x \in M^n$ and $y \in M$. Since for every $x \in M^n$ there is $i < \lambda$ such that $d(g(x), a_i) < \frac{\delta}{4}$, the collection of closed conditions

$$\{\varphi(x, a_i) \ge \frac{\delta}{2} \mid i < \lambda\}$$

is not satisfied in \mathcal{M} . Thus, by κ -saturation, there are a_{i_1}, \ldots, a_{i_m} such that: for every $x \in M^n$, there is $j \in \{1, \ldots, m\}$ satisfying $\varphi(x, a_{i_j}) < \frac{\delta}{2}$. It follows that $g(M^n) \subseteq \bigcup_{j=1}^m B_M(a_{i_j}; \delta)$.

2. STRUCTURE THEOREM FOR DEFINABLE FUNCTIONS

Before we prove our main structure theorem for definable functions, we need a few preparatory lemmas. Recall that a metric space M of diameter at most 1 is said to be *finitely injective* if whenever $a_1 \ldots, a_n \in M$ and $\{a_1, \ldots, a_n, a\}$ is an abstract one-point metric extension of $\{a_1, \ldots, a_n\}$ of diameter at most 1, then there is $a' \in M$ such that $\{a_1, \ldots, a_n, a\}$ is isometric to $\{a_1, \ldots, a_n, a'\}$.

Lemma 2.1. Suppose that $(x_i \mid i < \omega)$ is a sequence from \mathbb{U} and $(r_i \mid i < \omega)$ is a sequence from (0,1). Let $B = \bigcup_{i < \omega} B_{\mathbb{U}}(x_i; r_i)$. Then $\mathbb{U} \setminus B$ is finitely injective. In particular, $\mathbb{U} \setminus B$ is path-connected.

Proof. Fix $a_1, \ldots, a_n \in \mathbb{U} \setminus B$ and let $\{a_1, \ldots, a_n, a\}$ be a one-point metric extension of $\{a_1, \ldots, a_n\}$. By ω_1 -saturation, it suffices to prove that, for any $m < \omega$, the partial type

$$\Gamma = \{ d(x, a_i) = d(a, a_i) \mid 1 \le i \le n \} \cup \{ d(x, x_i) \ge r_i \mid 1 \le i \le m \}$$

is finitely satisfiable in U. Consider the one-point metric exension

$$\{a_1,\ldots,a_n,x_1,\ldots,x_m,x\}$$

of $\{a_1,\ldots,a_n,x_1,\ldots,x_m\}$ given by:

- $d(x, a_i) = d(a, a_i)$ for each $i \in \{1, ..., n\}$, and
- $d(x, x_j) = \min_{1 \le k \le n} (d(a, a_k) + d(a_k, x_j))$ for each $j \in \{1, \dots, m\}$.

Then since \mathbb{U} is finitely injective, we can find $a' \in \mathbb{U}$ realizing this extension. Since $d(a', x_j) \ge \min_{1 \le k \le n} d(a_k, x_j) \ge r_j$, it follows that Γ is finitely satisfiable.

To see how finite injectivity implies path-connectedness, see Sections 3 and 4 of [7]. \Box

Corollary 2.2. For any $n \geq 1$, $(\mathbb{U} \setminus \mathfrak{U})^n$ is path-connected.

Proof. Given $a, b \in \mathbb{U} \setminus \mathfrak{U}$, we can find $\epsilon > 0$ such that $d(a, \mathfrak{U}), d(b, \mathfrak{U}) \geq \epsilon$. Let B be the union of countably many open balls of radius ϵ which cover \mathfrak{U} . Then by Lemma 2.1, we can connect a and b by a path which remains in $\mathbb{U} \setminus B$ and hence in $\mathbb{U} \setminus \mathfrak{U}$.

We will actually need path-connectedness of the complement of countably many balls in higher dimensions, which is the subject of our next lemma. Let ϵ_0 be a positive real number such that $\mathbb U$ cannot be covered by finitely many balls of radius ϵ_0 .

Lemma 2.3. Suppose that $((x_1^i, \ldots, x_n^i) \mid i < \omega)$ is a sequence from \mathbb{U}^n . Suppose that $(r_i \mid i < \omega)$ is a sequence from $\mathbb{R}^{>0}$ such that $r_i < \epsilon_0$ for each $i < \omega$. Let $B = \bigcup_{i < \omega} B_{\mathbb{U}^n}((x_1^i, \ldots, x_n^i); r_i)$. Then $\mathbb{U}^n \setminus B$ is path-connected.

Proof. Fix $a, b \in \mathbb{U}^n \setminus B$. Then for every $i < \omega$, there are $j, k \in \{1, \ldots, n\}$ such that $d(a_j, x_j^i), d(b_k, x_k^i) \geq r_i$. For each $j \in \{1, \ldots, n\}$, let $c_j \in \mathbb{U} \setminus \bigcup_{i < \omega} B_{\mathbb{U}}(x_j^i; r_i)$; this is possible by saturation and the assumption on the r_i . For each $j \in \{1, \ldots, n\}$, let $\Phi_j : [0, 1] \to \mathbb{U}$ be a continuous path connecting a_j to c_j such that, for all $i < \omega$, if $d(a_j, x_j^i) \geq r_i$, then $\Phi_j(s) \notin B_{\mathbb{U}}(x_j^i; r_i)$ for all $s \in [0, 1]$; this is possible by Lemma 2.1. Let $\Phi = (\Phi_1, \ldots, \Phi_n) : [0, 1] \to \mathbb{U}^n$. Then Φ is a continuous path connecting (a_1, \ldots, a_n) to (c_1, \ldots, c_n) which remains in $\mathbb{U}^n \setminus B$. One can connect (c_1, \ldots, c_n) to (b_1, \ldots, b_n) in a similar manner. Concatenating these two paths yields the desired result.

We should remark that, although the above results state that certain spaces are path-connected, in the arguments that follow, it is only the connectedness of the relevant spaces that gets used.

The following lemma will be the key topological result used in the proof of the main theorem.

Lemma 2.4. Suppose that F is a closed subset of \mathbb{U}^n and G is a closed, separable subset of F for which $F \setminus G \subseteq \operatorname{int}(F)$. Then either F = G or $F = \mathbb{U}^n$.

Proof. Suppose $F \neq G$. Let $y \in F \setminus G$. Let $0 < r < \min(d(y,G), \epsilon_0)$. Cover G with countably many balls of radius r and call the union of these balls B. Set $Y = \mathbb{U}^n \setminus B$, which is path-connected by the previous lemma. Now $F \cap Y = \operatorname{int}(F) \cap Y$ is a nonempty, clopen subset of Y, implying that $F \cap Y = Y$. It follows that $Y \subseteq F$. Since r can be taken to be arbitrarily small, this shows that $\mathbb{U}^n \setminus G \subseteq F$, whence $F = \mathbb{U}^n$.

For the rest of this section, A denotes a countable subset of \mathfrak{U} . For $i \in \{1,\ldots,n\}$, we let $\pi_i: \mathbb{U}^n \to \mathbb{U}$ denote the projection map onto the i^{th} coordinate. We may sometimes abuse notation and also use π_i to denote the restriction of π_i to \mathfrak{U}^n . We are now ready to state the main theorem on definable functions.

Theorem 2.5. If $f: \mathfrak{U}^n \to \mathfrak{U}$ is an A-definable function, then either:

- (1) $\tilde{f}(\mathbb{U}^n)$ is a compact subset of \bar{A} , or
- (2) $\tilde{f} = \pi_i$ for some $i \in \{1, \dots, n\}$.

Remark 2.6. By Lemma 1.3, the compactness assertion in part (1) of Theorem 2.5 follows immediately from the containment $\tilde{f}(\mathbb{U}^n) \subseteq \bar{A}$ since \mathbb{U} is ω_1 -saturated and \mathfrak{U} is separable.

We now prove Theorem 2.5 in the case n=1. Suppose that $f: \mathfrak{U} \to \mathfrak{U}$ is an A-definable function. Set $X=\{x\in \mathfrak{U} \mid f(x)=x\}$.

Lemma 2.7.
$$\tilde{f}^{-1}(\bar{A}) \setminus (X \cap \bar{A}) \subseteq \operatorname{int}(\tilde{f}^{-1}(\bar{A})).$$

Proof. Suppose that $x \in \mathbb{U}$ is such that $\tilde{f}(x) \in \bar{A}$ and $\tilde{f}(x) \neq x$. Let $r = d(\tilde{f}(x), x) > 0$. Let $\delta = \min\{\frac{r}{2}, \Delta(\frac{r}{2})\}$. Suppose $y \in \mathbb{U}$ is such that $d(x, y) < \delta$. Then $d(\tilde{f}(x), \tilde{f}(y)) \leq \frac{r}{2}$. Suppose that $\tilde{f}(y) = y$. Then

$$d(x, \tilde{f}(x)) \le d(x, y) + d(y, \tilde{f}(x)) < \frac{r}{2} + \frac{r}{2} = r,$$

a contradiction. Hence $\tilde{f}(y) \in \bar{A}$, finishing the proof of the lemma.

By Lemmas 2.4 and 2.7, it follows that either $\tilde{f}^{-1}(\bar{A}) = X \cap \bar{A}$, in which case $\tilde{f} = \mathrm{id}_{\mathbb{U}}$ by Corollary 1.2, or $\tilde{f}^{-1}(\bar{A}) = \mathbb{U}$. Hence, Theorem 2.5 holds for one-variable functions.

We now prove Theorem 2.5 by induction on n. Suppose that $f: \mathfrak{U}^n \to \mathfrak{U}$ is an A-definable function, where n > 1, and suppose that the conclusion of Theorem 2.5 holds for definable functions of n-1 variables. Further suppose that $x = (x_1, \ldots, x_n) \in (\mathbb{U} \setminus \mathfrak{U})^n$ and $\tilde{f}(x) \in \bar{A}$. Let $\epsilon > 0$ be such that $B_{\mathbb{U}}(x_i; \epsilon) \subseteq \mathbb{U} \setminus \mathfrak{U}$ for each $i \in \{1, \ldots, n\}$. Let $\delta = \min(\frac{\epsilon}{2}, \Delta(\frac{\epsilon}{2}))$. Then if $y = (y_1, \ldots, y_n) \in \mathbb{U}^n$ is such that $d(x, y) < \delta$, then $\tilde{f}(y) \in \bar{A}$. Consequently, $\tilde{f}^{-1}(\bar{A}) \cap (\mathbb{U} \setminus \mathfrak{U})^n \subseteq \inf(\tilde{f}^{-1}(A))$. It follows that

$$\tilde{f}^{-1}(\bar{A}) \cap (\mathbb{U} \setminus \mathfrak{U})^n = \operatorname{int}(\tilde{f}^{-1}(\bar{A})) \cap (\mathbb{U} \setminus \mathfrak{U})^n$$

is a clopen subset of $(\mathbb{U} \setminus \mathfrak{U})^n$, whence $\tilde{f}^{-1}(\bar{A}) \cap (\mathbb{U} \setminus \mathfrak{U})^n = \emptyset$ or $(\mathbb{U} \setminus \mathfrak{U})^n$ by Corollary 2.2.

Suppose first that $\widetilde{f}^{-1}(\overline{A}) \cap (\mathbb{U} \setminus \mathfrak{U})^n = (\mathbb{U} \setminus \mathfrak{U})^n$. Then since $(\mathbb{U} \setminus \mathfrak{U})^n$ is dense in \mathbb{U}^n , we have that $\widetilde{f}(\mathbb{U}^n) \subseteq \overline{A}$. We may thus suppose that $\widetilde{f}^{-1}(\overline{A}) \cap (\mathbb{U} \setminus \mathfrak{U})^n = \emptyset$. It follows that, for every $x = (x_1, \dots, x_n) \in (\mathbb{U} \setminus \mathfrak{U})^n$, there is $i \in \{1, \dots, n\}$ such that $\widetilde{f}(x) = x_i$. Since $(\mathbb{U} \setminus \mathfrak{U})^n$ is dense in \mathbb{U}^n , it follows that:

(†) for each $x \in \mathbb{U}^n$, there is $i \in \{1, ..., n\}$ such that $\tilde{f}(x) = x_i$.

For $a \in \mathfrak{U}^{n-1}$, consider the Aa-definable function $f_a : \mathfrak{U} \to \mathfrak{U}$ given by $f_a(b) = f(a,b)$. Note that the natural extension $\widetilde{f}_a : \mathbb{U} \to \mathbb{U}$ satisfies $\widetilde{f}_a(b) = \widetilde{f}(a,b)$ for all $b \in \mathbb{U}$. Since we know Theorem 2.5 holds for one-variable functions, we have that either $\widetilde{f}_a = \mathrm{id}_{\mathbb{U}}$ or $\widetilde{f}_a(\mathbb{U}) \subseteq \overline{Aa}$.

Lemma 2.8. Let
$$Y = \{a \in \mathfrak{U}^{n-1} \mid \widetilde{f}_a = \mathrm{id}_{\mathbb{U}}\}$$
. Then $Y = \emptyset$ or \mathfrak{U} .

Proof. Clearly Y is a closed subset of \mathfrak{U}^{n-1} . We now show that Y is also an open subset of \mathfrak{U}^{n-1} , from which the lemma follows using the connectedness of \mathfrak{U}^{n-1} . Take $\epsilon > 0$ such that the closed ϵ -neighborhood of \mathfrak{U} in \mathbb{U} does not equal \mathbb{U} ; such an ϵ exists since \mathbb{U} is not separable. Let $\delta = \Delta(\epsilon)$. Suppose $a \in Y$ and $b \in \mathfrak{U}^{n-1}$ is such that $d(a,b) < \delta$. Then, since $a \in Y$, we have

$$d(x, \widetilde{f}_b(x)) = d(\widetilde{f}(a, x), \widetilde{f}(b, x)) \le \epsilon$$

for all $x \in \mathbb{U}$. This prevents $\widetilde{f}_b(\mathbb{U}) \subseteq \overline{Ab} \subseteq \mathfrak{U}$, whence $\widetilde{f}_b = \mathrm{id}_{\mathbb{U}}$.

By the previous lemma, if there is $a \in \mathfrak{U}^{n-1}$ such that $\widetilde{f}_a = \mathrm{id}_{\mathbb{U}}$, then $f = \pi_n \upharpoonright \mathfrak{U}^n$, whence $\widetilde{f} = \pi_n$ and the proof of Theorem 2.5 would be complete. We may thus suppose that $\widetilde{f}_a(\mathbb{U}) \subseteq \overline{Aa}$ for all $a \in \mathfrak{U}^{n-1}$. Now fix $a = (a_1, \ldots, a_{n-1}) \in \mathfrak{U}^{n-1}$ and $b \in \mathbb{U} \setminus \mathfrak{U}$. We know that $\widetilde{f}_a(b) \in \overline{Aa}$ and, by (\dagger) , $\widetilde{f}(a,b) \in \{a_1, \ldots, a_{n-1}, b\}$. It follows that $\widetilde{f}_a(b) \in \{a_1, \ldots, a_{n-1}\}$. Since $\mathbb{U} \setminus \mathfrak{U}$ is dense in \mathbb{U} , it follows that $\widetilde{f}_a(\mathbb{U}) \subseteq \{a_1, \ldots, a_{n-1}\}$. Since $a \in \mathfrak{U}^{n-1}$ is arbitrary, it follows that:

(††) for each $a = (a_1, \dots, a_{n-1}) \in \mathfrak{U}^{n-1}$, we have $\widetilde{f}_a(\mathbb{U}) \subseteq \{a_1, \dots, a_{n-1}\}$.

Now fix $b \in \mathfrak{U}$ and consider the Ab-definable function $f^b : \mathfrak{U}^{n-1} \to \mathfrak{U}$ given by $f^b(a) = f(a,b)$. By induction, either $f^b(\mathfrak{U}^{n-1})$ is a relatively compact subset of \overline{Ab} or else there is $i \in \{1, \ldots, n-1\}$ such that $f^b(a) = a_i$ for all $a = (a_1, \ldots, a_{n-1}) \in \mathfrak{U}^{n-1}$. We cannot have the former option. Indeed, if $f^b(\mathfrak{U}^{n-1})$ were relatively compact, then there would be $c \in \mathfrak{U} \setminus f^b(\mathfrak{U}^{n-1})$. But then $f(c, c, \ldots, c, b) = c$ by $(\dagger \dagger)$, a contradiction. Thus there is (a unique) $i \in \{1, \ldots, n-1\}$ such that $f^b(a) = a_i$ for all $a = (a_1, \ldots, a_{n-1}) \in \mathfrak{U}^{n-1}$. For $i = 1, \ldots, n-1$, set $Y_i := \{b \in \mathfrak{U} \mid f^b(a) = a_i \text{ for all } a \in \mathfrak{U}^{n-1}\}$. We have thus shown that \mathfrak{U} is the disjoint union of the closed sets Y_1, \ldots, Y_{n-1} , whence by the connectedness of \mathfrak{U} , there is $i \in \{1, \ldots, n-1\}$ such that $f(x) = x_i$ for all $x = (x_1, \ldots, x_n) \in \mathfrak{U}^n$. This finishes the proof of Theorem 2.5

Remark 2.9. Since compact sets are the analogue of finite sets in continuous logic, the first option in the conclusion of Theorem 2.5 is almost like saying that \tilde{f} is piecewise constant. However, one must remember $\tilde{f}(\mathbb{U})$ is also connected, so if $\tilde{f}(\mathbb{U})$ is actually finite, then \tilde{f} is a constant function.

The following corollary is immediate from the main theorem.

Corollary 2.10. If $f: \mathfrak{U}^n \to \mathfrak{U}$ is a 0-definable function, then $f = \pi_i$ for some $i \in \{1, ..., n\}$.

3. Consequences of the Main Result

The main result on definable functions tells us that many functions are not definable. For example:

Corollary 3.1. If $f: \mathfrak{U} \to \mathfrak{U}$ is a definable surjective/open/proper map, then $f = \mathrm{id}_{\mathfrak{U}}$.

Proof. The statements about definable surjective and proper maps are clear. (Recall that a map between topological spaces is proper if the inverse image of a compact set is compact.) To see why a definable open map must necessarily be the identity, it remains to observe that relatively compact subsets of $\mathfrak U$ have empty interior.

Corollary 3.2. If $f: \mathfrak{U} \to \mathfrak{U}$ is a definable isometric embedding, then $f = \mathrm{id}_{\mathfrak{U}}$.

We now expound on the significance of the previous corollary. There are many interesting isometric embeddings $\mathfrak{U} \to \mathfrak{U}$. For example, any isometry ϕ between compact subsets C and C' of \mathfrak{U} can be extended to an isometry Φ of \mathfrak{U} . The previous corollary shows that if $\phi \neq \mathrm{id}_C$, then Φ is not definable.

Due to the homogeneity of \mathfrak{U} , there are many proper subsets of \mathfrak{U} isometric to \mathfrak{U} . For example, given any $x_1, \ldots, x_n \in \mathfrak{U}$, the set

$$Med(x_1,...,x_n) = \{z \in \mathfrak{U} \mid d(z,x_i) = d(z,x_j) \text{ for all } i,j = 1,...,n\}$$

is isometric to $\mathfrak U$. Also, if M is any Polish subspace of $\mathfrak U$ which is a *Heine-Borel* metric space (i.e. closed balls in M are compact) and $R \in (0,1]$, then

$$\{x \in \mathfrak{U} \mid d(x, M) \ge R\}$$

is isometric to \mathfrak{U} . (See [7] for proofs of all of the results mentioned in this paragraph.) The previous corollary shows that if Z is a proper subspace of \mathfrak{U} which is isometric to \mathfrak{U} , then any isometry $\Phi: \mathfrak{U} \to Z$ is not definable.

On a related note, by the universality of \mathfrak{U} , for each $n \geq 1$, there is an isometric embedding $\mathfrak{U}^n \to \mathfrak{U}$. It was proven in Corollary 5.16(1) of [5] that, for all $n \geq 2$, there are no definable isometric embeddings $\mathfrak{U}^n \to \mathfrak{U}$.

Here is one more corollary of Theorem 2.5 with a topological flavor.

Corollary 3.3. If $f: \mathfrak{U} \to \mathfrak{U}$ is a definable contraction mapping, then $f(\mathfrak{U})$ is a relatively compact subset of \overline{A} .

The structure theorem for definable functions also gives us information on definable groups.

Corollary 3.4. There are no definable group operations on \mathfrak{U} .

Proof. Indeed, a group operation is a function of two variables which is surjective but not equal to a projection function. \Box

In particular, the group operation on \mathfrak{U} introduced by Vershik and Cameron which makes \mathfrak{U} into a monothetic Polish group (see [3]) is not definable.

Our next series of corollaries involve functions on $\mathfrak U$ induced by functions on ℓ^2 . In [10], Uspenskij proved that $\mathfrak U$ is homeomorphic to ℓ^2 . Let $\Phi: \ell^2 \to \mathfrak U$ be a homeomorphism. Suppose $F: \ell^2 \to \ell^2$ is a function. Define $f: \mathfrak U \to \mathfrak U$ by $f(x) = \Phi(F(\Phi^{-1}(x)))$ and call f the function induced by F.

Corollary 3.5.

- (1) Suppose that $F: \ell^2 \to \ell^2$ is a continuous, linear surjection. Suppose that the induced map is definable. Then $F = id_{\ell^2}$.
- (2) If $x \in \ell^2 \setminus \{\vec{0}\}$, then translation by x does not induce a definable function on \mathfrak{U} .

Proof. (1) By the Open Mapping Theorem, F, and consequently the induced map, would be an open map. The proof of (2) is similar.

Recently, Melleray [8] proved that $\operatorname{Iso}(\mathfrak{U})$ is homeomorphic to ℓ^2 , and hence, combined with Uspenskij's result refererred to above, $\operatorname{Iso}(\mathfrak{U})$ is homeomorphic to \mathfrak{U} . Let $\Psi: \operatorname{Iso}(\mathfrak{U}) \to \mathfrak{U}$ be a homeomorphism. We can thus view the evaluation map $(\phi, x) \mapsto \phi(x) \colon \operatorname{Iso}(\mathfrak{U}) \times \mathfrak{U} \to \mathfrak{U}$ as a map $(\Psi(\phi), x) \mapsto \phi(x) \colon \mathfrak{U}^2 \to \mathfrak{U}$. Let us call the latter map the *induced evaluation map*.

Corollary 3.6. The induced evaluation map is never definable.

Proof. Since the induced evaluation map is surjective, we have that the induced evaluation map is either $(\Psi(\phi), x) \mapsto \Psi(\phi)$ or $(\Psi(\phi), x) \mapsto x$. The former option implies that $\Psi(\phi) = \phi(x)$ for all $x \in \mathfrak{U}$, contradicting the injectivity of ϕ , while the latter option implies that $\phi = \mathrm{id}_{\mathfrak{U}}$ for all $\phi \in \mathrm{Iso}(\mathfrak{U})$, again a contradiction.

We end this section with a result concerning the case that all elements of the defining parameters A of f are fixed by f.

Corollary 3.7. Suppose that $f: \mathfrak{U} \to \mathfrak{U}$ is A-definable and f(a) = a for all $a \in A$. Suppose further that either:

- (1) A is not compact, or
- (2) there is some separable metric space B containing \bar{A} as a closed subspace such that \bar{A} is not a retract of B.

Then $f = id_{\mathfrak{U}}$.

Proof. If (1) holds, the conclusion is obvious. If (2) holds, then by the universality of \mathfrak{U} , we can realize B inside of \mathfrak{U} . If $f \neq \mathrm{id}_{\mathfrak{U}}$, then $f(\mathfrak{U}) = \bar{A}$, whence \bar{A} is a retract of \mathfrak{U} , and hence of B.

As a specific instance of the previous corollary, we see that if f is A-definable, where $\bar{A} = S^n$, the n-dimensional sphere, and f(a) = a for all $a \in A$, then $f = \mathrm{id}_{\mathfrak{U}}$. Indeed, S^n is not a retract of the closed (n+1)-dimensional unit ball B^{n+1} .

4. The Case of Compact Range

In this section, we assume that $f: \mathfrak{U}^n \to \mathfrak{U}$ is an A-definable function and $\tilde{f}(\mathbb{U}^n)$ is a compact subset of \bar{A} . The goal of this section is to obtain further topological information about $\tilde{f}(\mathbb{U}^n)$. Since compact subsets of \mathbb{U} have empty interior, we immediately have the following:

Lemma 4.1. $\tilde{f}(\mathbb{U}^n)$ has no interior in \mathbb{U} .

Since \mathbb{U}^n is path-connected and the continuous image of a path-connected space is path-connected, we get

Lemma 4.2. $\tilde{f}(\mathbb{U}^n)$ is path-connected.

In particular, $\tilde{f}(\mathbb{U}^n)$ is connected, whence it is a *(metric) continuum*, that is a nonempty compact, connected metric space. We will say that a continuum is *degenerate* if it is a singleton.

Corollary 4.3. If \bar{A} is totally disconnected, then \tilde{f} is a constant function.

The connectedness of $\tilde{f}(\mathbb{U}^n)$ also immediately implies the following:

Corollary 4.4. If \tilde{f} is not a constant function, then $\tilde{f}(\mathbb{U}^n)$ is perfect.

Thus, we see that $|\tilde{f}(\mathbb{U}^n)| = 1$ or 2^{\aleph_0} . We now investigate further the properties that $\tilde{f}(\mathbb{U}^n)$ has as a continuum.

Recall the following two definitions from the theory of continua. First, a *Peano space* is a continuous image of the unit interval in a hausdorff space. Second, a nondegenerate continuum C is said to be *irreducible* if there are distinct $x, y \in C$ such that no proper subcontinuum of C contains both x and y. If a continuum is not irreducible, then it is said to be *reducible*.

Lemma 4.5. Either $\tilde{f}(\mathbb{U}^n)$ is a Peano space or a reducible continuum.

Proof. Suppose that $\tilde{f}(\mathbb{U}^n)$ is not a Peano space. Fix distinct $x, y \in \tilde{f}(\mathbb{U}^n)$. Then a path connecting x and y is a proper subcontinuum of $\tilde{f}(\mathbb{U}^n)$ containing x and y. Since x and y were arbitrary, we see that $\tilde{f}(\mathbb{U}^n)$ is reducible. \square

It follows from the previous lemma and Corollaries 3-42 and 3-49 of [6] that if $\tilde{f}(\mathbb{U}^n)$ is nondegenerate, then it is *decomposable* as a continuum, meaning that it is the union of two proper subcontinua. Since the generic continuum is indecomposable (as the set of continua homeomorphic to a

certain indecomposable continuum, namely the pseudo-arc, is a dense G_{δ} subspace of the space of continua), we see that $f(\mathbb{U}^n)$ is a special kind of continuum.

If P is a property, we say that a nondegenerate continuum C has arbitrarily small nondegenerate subcontinua with property P if for any $y \in C$ and any $\epsilon > 0$, $B_C(y;\epsilon)$ contains a nondegenerate subcontinuum of C with property

Lemma 4.6. If $\tilde{f}(\mathbb{U}^n)$ is nondegenerate, then $\tilde{f}(\mathbb{U}^n)$ has arbitrarily small nondegenerate path-connected subcontinua.

Proof. Suppose that $C := \tilde{f}(\mathbb{U}^n)$ does not have arbitrarily small nondegenerate path-connected subcontinua. Then there is $y \in C$ and $\epsilon > 0$ such that the only path-connected subcontinua of C contained in $B_C(y;\epsilon)$ are singletons. Now suppose that $x = (x_1, \ldots, x_n) \in \tilde{f}^{-1}(y)$ and $\delta < \Delta(\frac{\epsilon}{2})$. Then $\tilde{f}(\bar{B}_{\mathbb{U}^n}(x;\delta))$ is a path-connected subcontinuum of C contained in $B_C(y;\epsilon)$. Indeed, $\bar{B}_{\mathbb{U}^n}(x;\delta)$ is a path-connected subset of \mathbb{U} , whence its image under \hat{f} is path-connected. Also, as \mathbb{U} is a geodesic space, $B_{\mathbb{U}}(x_i;\delta)$ is a definable subset of \mathbb{U} for each $i \in \{1, \ldots, n\}$ (see Lemma 1.8.15 of [4]). Thus, $\bar{B}_{\mathbb{U}^n}(x; \delta)$ is a cartesian product of definable sets, whence definable by Lemma 1.10 of [2]. Thus $f(\bar{B}_{\mathbb{U}^n}(x;\delta))$ is a closed subset of C. It follows that $f(\bar{B}_{\mathbb{U}^n}(x;\delta)) = \{y\}$ and hence $\tilde{f}^{-1}(y)$ is a clopen subset of \mathbb{U}^n . Thus $\tilde{f}(\mathbb{U}^n) = \{y\}$.

It follows that if $\tilde{f}(\mathbb{U}^n)$ is nondegenerate, then $\tilde{f}(\mathbb{U}^n)$ has arbitrarily small reducible subcontinua.

We end this section by discussing two questions.

Question 4.7. Is it possible to improve our structure theorem to show that if $f: \mathfrak{U}^n \to \mathfrak{U}$ is a definable function, then either f is a projection function or else f is constant? Said another way, is it impossible to find a nondegenerate continuum C with the properties mentioned above such that there is a definable function $f: \mathfrak{U}^n \to \mathfrak{U}$ with $f(\mathbb{U}^n) = C$?

We can show that the status of Question 4.7 reduces to the case n = 1.

Proposition 4.8. Suppose that $A \subseteq \mathfrak{U}$ is countable. Further suppose that every A-definable function $f: \mathfrak{U} \to \mathfrak{U}$ is either $\mathrm{id}_{\mathfrak{U}}$ or constantly equal to an element of \bar{A} . Then for every $n \geq 1$, every A-definable function $f: \mathfrak{U}^n \to \mathfrak{U}$ is either a projection function or else constantly equal to an element of A.

Proof. We proceed by induction on n. Suppose n > 1 and $f: \mathfrak{U}^n \to \mathfrak{U}$ is A-definable. For each $b = (b_1, \ldots, b_{n-1}) \in \mathfrak{U}^{n-1}$, define $f_b : \mathfrak{U} \to \mathfrak{U}$ by $f_b(c) = f(b,c)$. Then f_b is an Ab-definable function. By the assumption of the proposition, either f_b is the identity function on $\mathfrak U$ or else f_b is constantly equal to an element of $A \cup \{b_1, \ldots, b_{n-1}\}$. Consider the following closed subsets of \mathfrak{U}^{n-1} :

- $U = \{b \in \mathfrak{U}^{n-1} \mid f_b = \mathrm{id}_{\mathfrak{U}}\};$ $V_i = \{b \in \mathfrak{U}^{n-1} \mid f_b(c) = b_i \text{ for all } c \in \mathfrak{U}\}, \quad i = 1, \dots, n-1;$

•
$$W_a = \{b \in \mathfrak{U}^{n-1} \mid f_b(c) = a \text{ for all } c \in \mathfrak{U}\}, \quad a \in \bar{A}.$$

Thus, we have

$$\mathfrak{U}^{n-1} = U \cup \bigcup_{i=1}^{n-1} V_i \cup \bigcup_{a \in \bar{A}} W_a.$$

First, we notice that U is also open. Indeed, fix $b \in U$ and let $\delta = \Delta_f(\frac{1}{2})$, where Δ_f is a modulus of uniform continuity for f. Suppose that $d(b,b') < \delta$. Then $d(c,f(b',c)) \leq \frac{1}{2}$ for all $c \in \mathfrak{U}$. The assumption that $b' \in V_i$ (resp. $b' \in W_a$) leads to the absurd conclusion that $d(c,b'_i) \leq \frac{1}{2}$ for all $c \in \mathfrak{U}$ (resp. $d(c,a) \leq \frac{1}{2}$ for all $c \in \mathfrak{U}$). Thus $b' \in U$, proving that U is open. By the connectedness of \mathfrak{U}^{n-1} , either $U = \emptyset$ or else $U = \mathfrak{U}^{n-1}$. The latter option yields that $f(x) = x_n$ for all $x \in \mathfrak{U}^n$, finishing the proof. Thus, we may assume that $U = \emptyset$.

Now suppose that $b \in W_a$ for some $a \in \bar{A} \setminus \{b_1, \ldots, b_n\}$. Fix $c \in \mathfrak{U}$ and define $f^c: \mathfrak{U}^{n-1} \to \mathfrak{U}$ by $f^c(d_1, \ldots, d_{n-1}) = f(d_1, \ldots, d_{n-1}, c)$, which is an Ac-definable function. By the induction hypothesis, either f^c is a projection function on \mathfrak{U}^{n-1} or f^c is constantly equal to an element of $\bar{A} \cup \{c\}$. If f^c was the projection function onto the i^{th} coordinate, then $a = f_b(c) = f^c(b) = b_i$, yielding a contradiction. Next, if f^c were constantly equal to c, then since $a = f_b(c) = f^c(b) = c$, we get that a = c. Finally, if f^c were constantly equal to an element of \bar{A} , then since $f^c(b) = a$, we have that f^c would be constantly equal to a. Either way, we see that f is constantly equal to a, finishing the proof of the proposition.

Thus, we may assume that $\mathfrak{U}^{n-1} = V_1 \cup \cdots \cup V_{n-1}$. However, as in the proof of Theorem 2.5, this shows that $f(\mathfrak{U}^n)$ cannot be relatively compact, whence f is a projection function.

Question 4.9. If $f: \mathfrak{U} \to \mathfrak{U}$ is an injective definable map, must $f = \mathrm{id}_{\mathfrak{U}}$?

A few remarks are in order concerning the latter question. First, one must note that Theorem 2.5 does not yield an answer to Question 4.9. Indeed, it is possible to have a continuous, injective map $\mathfrak{U} \to \mathfrak{U}$ with relatively compact image. Since \mathfrak{U} is homeomorphic to ℓ^2 , it suffices to construct a continuous, injective map $\ell^2 \to \ell^2$ with relatively compact image. Here is an example of such a function, as was communicated to me by Jan van Mill. By Theorem 6.6.11 of [9], ℓ^2 is homeomorphic to \mathbb{R}^{∞} , which is in turn homeomorphic to $(0,1)^{\infty}$. This latter space is homeomorphic to a subspace of the *compact* Hilbert cube $[0,1]^{\infty}$, which itself is homeomorphic to a subspace of ℓ^2 via the map $(x_n) \mapsto (\frac{x_n}{2^n})$. The composition of these maps yields the desired example.

However, Theorem 2.5 yields a positive answer to Question 4.9 in the case that the parameterset A defining f is totally disconnected. In this case, the answer to Question 4.7 is affirmative and an affirmative answer to Question 4.7 yields an affirmative answer to Question 4.9. It follows from this that there are no A-definable injective maps $f: \mathfrak{U}^n \to \mathfrak{U}$ for $n \geq 2$ and A totally

disconnected. The aforementioned result generalizes Corollary 5.16(2) of [5], which has the stronger assumption that A is finite.

In connection with Question 4.9, one can prove the following:

Proposition 4.10. If $\tilde{f}: \mathbb{U} \to \mathbb{U}$ is injective, then $\tilde{f} = \mathrm{id}_{\mathbb{U}}$.

Proof. One first observes that if C is the complement of an open ball $B_{\mathbb{U}}(e;r)$ in \mathbb{U} , then C is definable over $\{e\}$. This fact has already been observed by Carlisle and Point for geodesic metric spaces with the prolongation property for geodesics, but since it has yet to appear in the literature, we provide a proof here. Set $\phi(x,y) := d(x,y) \dotplus (r \dotplus d(y,e))$. (Here, $a \dotplus b = \min(a+b,1)$ and $a \dotplus b = \max(a-b,0)$.) Observe that $\phi(x,y) = d(x,y)$ for $y \in C$. We next claim that $\phi(x,y) \geq d(x,C)$ for $y \notin C$. If we are successful in proving this, then it follows that $d(x,C) = \inf_y \phi(x,y)$, proving that C is $\{e\}$ -definable. Suppose $r_0 := d(e,y) < r$. Let $\Phi : [0,r] \to \mathbb{U}$ be an isometry such that $\Phi(0) = e$ and $\Phi(r_0) = y$. Set $y' := \Phi(r) \in C$. Then $d(x,C) \leq d(x,y') \leq d(x,y) + d(y,y') = d(x,y) + (r-d(y,e)) = \phi(x,y)$.

Again suppose that C is the complement of an open ball in \mathbb{U} . Then the preceding paragraph implies that $\tilde{f}(C)$ is a closed subset of \mathbb{U} . Since \tilde{f} is injective, this implies that \tilde{f} is a closed map, whence it is a topological embedding. It follows from Theorem 2.5 that $\tilde{f} = \mathrm{id}_{\mathbb{U}}$.

A quicker, but less elementary, way of proving the preceding proposition would be to use the machinery of $U^{\rm b}$ -rank for continuous rosy theories as developed in [5]. Indeed, if \tilde{f} is injective, then $U^{\rm b}_{\rm real}(\mathbb{U})=U^{\rm b}_{\rm real}(\tilde{f}(\mathbb{U}))$. It is shown in [5] that $U^{\rm b}_{\rm real}(\mathbb{U})=1$. This prevents $\tilde{f}(\mathbb{U})$ from being compact, for then $U^{\rm b}_{\rm real}(\tilde{f}(\mathbb{U}))=0$.

Unfortunately, Proposition 4.10 does not settle the answer to Question 4.9. Indeed, since continuous logic is a positive logic, one cannot show that \tilde{f} is injective given that f is injective. (This issue is discussed in Section 2 of [5].)

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